

# Bank Security **TENNESSEE BANKERS ASSOCIATION** *Service Since 1890* & Risk Management Conference

November 16 & 17, 2010  
Nashville Airport Marriott Hotel  
Nashville, TN



# Bank Security & Risk Management Conference

## Conference At-A-Glance

### Tuesday, November 16

- 8:00 am **Registration/Continental Breakfast** – Capitol Ballroom Foyer  
*Sponsored by Elite Financial Products, Inc, Clarksville, TN*
- 9:00 am **Welcome and Introductions** – Capitol II Ballroom
- 9:15 am **Cybercrime in Electronic Payments**  
– Augenbaum/DaSilva
- 10:15 am **Coffee Break** - Capitol Ballroom Foyer
- 10:30 am **Enterprise Risk Assessments: The Mother of All Risk Assessments**  
– Sawyers
- 12:00 pm **Lunch** – Capitol I Ballroom
- 1:00 pm **The Mobile/Social Risk & Security Review** – Capitol II Ballroom  
– Wetherington
- 2:30 pm **Coffee Break** – Capitol Ballroom Foyer
- 2:45 pm **The Future of Information Security**  
– Wetherington
- 4:15 pm **Wrap Up/Questions** – Augenbaum/DaSilva/Sawyers/Wetherington
- 4:30 pm **Adjourn for the Day**

### Wednesday, November 17

- 7:30 am **Registration/Continental Breakfast** – Capitol Ballroom Foyer  
*Sponsored by Elite Financial Products, Inc, Clarksville, TN*
- 8:00 am **The Disaster Experience for Financial Institutions** – Capitol II Ballroom  
– Mellinger
- 9:45 am **Beverage Break** – Capitol Ballroom Foyer
- 10:00 am **The Disaster Experience for Financial Institutions – continued**  
– Mellinger
- 11:30 am **Conference Adjourns**

## Who Should Attend

The *Bank Security & Risk Management Conference* is developed for security officers, security assistants, compliance officers, human resource managers, training managers, boards of directors, auditors, retail operations managers, facilities managers, or any bank personnel dealing with security or risk issues.

**Thank You to Our Tuesday and Wednesday Continental Breakfast Sponsor!**  
**Elite Financial Products, Inc, Clarksville, TN**



**Elite Financial Products, Inc.**

# Conference Agenda

## Tuesday, November 16

- 8:00 am**      **Registration/Continental Breakfast** – *Capitol Ballroom Foyer*  
*Sponsored by Elite Financial Products, Inc, Clarksville, TN*
- 9:00 am**      **Welcome and Introductions** – *Capitol II Ballroom*
- 9:15 am**      **Cybercrime in Electronic Payments**  
**Scott Augenbaum**, *CyberCrime Supervisor, Federal Bureau of Investigation-Memphis Division, Nashville, TN*; and **Tony DaSilva, AAP**, *Senior Examiner, Federal Reserve Bank of Atlanta, Atlanta, GA*  
Cybercrime, criminal activity using computers and internet, is a growing concern. If you think it won't happen to you—think again and take action! Two experts in the ACH and electronic payments arenas will share experiences in the field and provide helpful tips and tools to strengthen your defense and that of your customers against cybercrime. Focusing on small banks, they will discuss the emerging threat of computer intrusions/cybercrime in the financial services sector from Eastern European organized crime enterprises and how cyber criminals are using Web 2.0 technologies to steal money out of bank accounts through ACH and wire transfers. The presentation also will include a case study on the risks of using Facebook pages to promote bank business.
- 10:15 am**      **Coffee Break** - *Capitol Ballroom Foyer*
- 10:30 am**      **Enterprise Risk Assessments: The Mother of All Risk Assessments**  
**Jimmy Sawyers**, *Partner, Sawyers & Jacobs, LLC, Collierville, TN*  
Developing and administering risk assessments can be both an overwhelming and time-consuming process. With the requirement of more risk assessments and the trend for banks to develop sophisticated enterprise risk assessments, how can bankers manage this growing burden while producing the necessary documentation that will satisfy regulators but will be useful to the bank? Learn how to approach the risk-assessment process using a variety of methods geared to community banks. Strike the right balance between lightweight risk assessments that won't pass muster and voluminous risk assessments that become unmanageable. Sawyers will guide you through four of today's most critical risk assessments, including the new and comprehensive enterprise risk assessment so you leave this session with peace of mind that your bank will be prepared for regulatory exams *and* be prudent and practical in its risk-management approach.
- 12:00 pm**      **Lunch** – *Capitol I Ballroom*
- 1:00 pm**      **The Mobile/Social Risk & Security Review** – *Capitol II Ballroom*  
**Lee Wetherington, AAP**, *Director of Strategic Insight for ProfitStars®, a division of Jack Henry & Associates®, Hahira, GA*  
Over 70 percent of banking happens beyond the branch. The online channel dominates; the mobile channel is set to soar; and the advent of social banking has begun in earnest via personal financial management (PFM) functionality, bank Facebook pages, and benchmarking sites like *www.bundle.com*. What risks do these new channels and access devices present, and what are the best ways to mitigate those risks? Join Wetherington for a review of the challenges and solutions you should consider in securing your bank's mobile and social forays.
- 2:30 pm**      **Coffee Break** – *Capitol Ballroom Foyer*

*continued on the next page*

## AGENDA continued

- 2:45 pm**      ***The Future of Information Security***  
*Lee Wetherington, AAP, Director of Strategic Insight for ProfitStars®, a division of Jack Henry & Associates®, Hahira, GA*  
The exponential growth, variety, and evolution of threats continue to outpace existing modes of security. The number of rogue sites infecting PCs with password-stealing malware jumped 800 percent last year, and 41 percent of consumers now would welcome new authentication methods—even if these meant more work on their part. Moreover, virtualization, cloud computing, and the migration to mobile present a robust array of new security challenges and solutions. So what's next? Wetherington will take a look at the road ahead.
- 4:15 pm**      ***Wrap Up/Questions – Augenbaum/DaSilva/Sawyers/Wetherington***  
Today's speakers—Scott Augenbaum, Tony DaSilva, Jimmy Sawyers, and Lee Wetherington—come together to answer all your lingering questions.
- 4:30 pm**      **Adjourn for the Day**

## Wednesday, November 17

- 7:30 am**      **Registration/Continental Breakfast – Capitol Ballroom Foyer**  
*Sponsored by Elite Financial Products, Inc, Clarksville, TN*
- 8:00 am**      ***The Disaster Experience for Financial Institutions – Capitol II Ballroom***  
**Bob Mellinger, CBCV, Founder and President, Attainium Corp, Gainesville, VA**  
Chances are that while you read this, an unexpected disaster is causing a financial institution stress and confusion and is affecting its long-term ability to provide products and services to its customers. Are the bank leaders prepared to handle it? Will they be able to recover? Mellinger will put you in the throes of a real-life disaster situation as it unfolds. You will make the same critical decisions any financial organization must make, and deal with the consequences of those decisions. After finishing this exercise, you will understand the importance of planning ahead for a disaster or other business disruption. Don't be caught unprepared again!
- 9:45 am**      **Beverage Break – Capitol Ballroom Foyer**
- 10:00 am**      ***The Disaster Experience for Financial Institutions – continued***  
**Bob Mellinger, CBCV, Founder and President, Attainium Corp, Gainesville, VA**
- 11:30 am**      **Conference Adjourns**

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## Continuing Education Credit



Attendants qualify for 10 hours of Continuing Professional Education (CPE) credit in the area of Business Management and Organization. No prerequisites are required for this program. For more information regarding administrative policies or complaints, please contact our offices at 615/244-4871.

Tennessee Bankers Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue, North, Suite 700, Nashville, TN, 37219-2417. Web site: [www.nasba.org](http://www.nasba.org)

To obtain continuing education credit applications or more information, call the TBA education department at 800/964-5525 or 615/244-4871.

## Speaker Information:



a special agent.

**Scott Augenbaum**, supervisor special agent, is the cybercrime supervisor in the FBI's Memphis Division and manages the program in Nashville and Memphis with a staff of seven special agents. The FBI's cyber program includes computer intrusion investigations, online child exploitation, intellectual property rights, and internet fraud. Augenbaum began his career with the FBI in 1988 in the New York Field Office as a support employee in the financial management section. In 1994, he went to the FBI Academy in Quantico, VA, and became



a Certified Information Systems Auditor, DaSilva earned his bachelor's degree from North Georgia College and master's degree in management from the University of Alabama in Huntsville.

**Tony DaSilva, AAP**, has been a senior examiner with the Federal Reserve Bank of Atlanta since 2003. He is a member of the community bank risk team focusing on back-office operations functions, IT, and payment processing functions. DaSilva has 21 years of commercial banking experience, spending 18 years in various operations management positions with Citizens & Southern Bank of Georgia, now Bank of America, and serving as vice president of marketing and product management for Prudential Bank. An Accredited ACH Professional and



from the University of Dayton with a major in computer science and has a graduate certificate from George Washington University's Institute for Crisis, Disaster, and Risk Management.

**Bob Mellinger, CBCV**, is the founder and president of Attainium Corporation, which he launched to deliver business continuity, emergency preparedness, and crisis management services. He is a frequent speaker on the topics of business continuity, contingency planning, emergency preparedness, and crisis management, delivering sessions ranging from *The Basics of Continuity Planning* to the *Impact of Today's Threats and Hazards*, as well as customized, interactive mock-disaster tabletop exercises known as the *Disaster Experience*. Mellinger graduated



technology courses for both *The Southeastern School of Banking*<sup>SM</sup> and the *Barret School of Banking*, is a frequent contributor to BankersOnline, and author of *IT Auditing for Financial Institutions*. He holds a BS degree in business from Christian Brothers University with a concentration in information technology management and telecommunications.

**Jimmy Sawyers** helps financial institutions tackle issues ranging from technology planning to IT risk management. His 25 years of experience in the information technology field includes directing the operations and technology division of a fast-growing community bank, at age 21 becoming one of the youngest officers in the history of the 100-year-old bank. In his 16 years as a consultant to the industry, Sawyers has helped banks innovate and embrace technology for competitive advantage and profitability. Sawyers teaches the



received bachelor's degrees in economics and in English from Duke University and completed graduate studies at Emory University. He is an Accredited ACH Professional.

**Lee Wetherington, AAP**, is director of strategic insight for ProfitStars®, a division of Jack Henry & Associates®. He creates programs, presentations, and articles designed to orient and educate financial executives on the trends and implications of new technologies. Wetherington has delivered over 300 keynote speeches for state and national trade groups, including ICBA, ABA, BAI, the FDIC, Federal Reserve, AICPA, and NACHA. He is the technology faculty instructor for several regional schools of banking and finance. Wetherington

## Hotel Information

**New Location!** This program is being held at the Nashville Airport Marriott Hotel, located at 600 Marriott Drive in Nashville. TBA has arranged for a limited number of rooms at a special group rate of \$120 per night single/double.

**The reservation deadline for our special group rate is Monday, October 25, 2010.** When the allotted room block is sold, room rates will return to standard prices on a space-available basis, even if it is before the October 25 deadline. All unreserved rooms will be released for general sale at midnight on October 25. Any reservation received after that date will be accepted on a space- or rate-available basis. Please make your overnight arrangements early to assure you receive the TBA special rate.

To reserve your accommodations, please contact the hotel directly at (615) 889-9300 and specify that you are attending the Tennessee Bankers Association's *Bank Security & Risk Management Conference*.

**Parking:** Hotel self-parking is complimentary.

## Registration Information

	TBA / Associate Members	Nonmembers
Early Registration	\$345	\$690
<i>Early registration deadline is November 1</i>		
Registration	\$370	\$715
At-the-door	\$395	\$740

The cost includes instruction, handout materials, lunch on Tuesday, and continental breakfast and refreshment breaks on both days.

Please register early. **At-the-door registrants will be assessed an additional \$25 late registration fee. No written confirmation of seminar registration will be sent from the TBA.**

Participation in TBA programs is limited to members, associate members, and nonmembers from an eligible membership category at applicable member or nonmember rates.

## Additional Information

**Prerequisites:** There are no prerequisites for this program

**Advance Preparation:** There is no advance preparation required for this program

**Program Level:** Basic to intermediate

**Delivery Method:** Group-Live

## General Information

**Attire:** Business casual attire is appropriate for all TBA programs. Because of temperature variations in meeting rooms, please bring a sweater or jacket for your comfort.

**Program Availability:** Due to hotel guarantees and material production, reservations received less than 7 days before the program will be subject to space and material availability.

**Special Needs:** If you have a disability that may affect your participation in this program, please send TBA a statement regarding any special needs at least 10 days before the program. We will contact you to discuss accommodations.

**Cancellation Policy:** Cancellations received in the TBA office 7 business days prior to the scheduled program will be refunded in full. Due to commitments with hotels, cancellations received less than 7 business days prior to the scheduled program are not refundable. Substitutions are welcome. Registrants who do not attend the program or send a substitute will be responsible for the entire registration fee.

**Inclement Weather Policy:** Cancellations due to inclement weather are refundable, minus a late cancellation fee of \$50 for a one-day program and \$75 for a two-day program. If you have questions concerning the status of a TBA program due to inclement weather, please call the TBA office at 615/244-4871 or 800/964-5525, x150. The TBA number will provide information concerning program status, cancellation fee, and refund requests. You may call the site where the program is being held to check the status of the program in your area. The site phone number is listed in this brochure.



**For more information contact:**  
**Susan Taylor, CMP - [srtaylor@TNBankers.org](mailto:srtaylor@TNBankers.org)**  
*Vice President / Director*  
*of Professional Development*  
**Tennessee Bankers Association**  
**800/964-5525 or 615/244-4871**  
**or online at [www.TNBankers.org](http://www.TNBankers.org)**



## 2010 Bank Security & Risk Management Conference

November 16 & 17 • Nashville Airport Marriott Hotel, Nashville, TN

Please print or type. List name and badge nickname for each attendant.

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Registration	\$370	\$715
At-the-door	\$395	\$740



Register online at [www.TNBankers.org](http://www.TNBankers.org)

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