



**TrustCore Investments, Inc.
Business Continuity Plan**

TrustCore Financial Inc. has adopted a Business Continuity Plan that allows for client transactions and needs to be addressed efficiently in the event of a sudden business disruption. Business disruptions can occur due to natural or man-made events. Disruptions can also occur in a local area or over a broad geographical region.

TrustCore's business continuity plan allows for continued service to our clients in the event of a sudden business disruption by:

- Adopting a communication plan to ensure that all employees and associates receive emergency notifications and instructions via a variety of sources, including but not limited to: in person, via telephone, via facsimile, and via e-mail
- Utilizing off site, redundant technology back up systems
- Utilizing phone, mail, e-mail, facsimile and web site technology to provide accurate information to our clients in the event of a sudden business disruption
- Utilizing the resources of our clearing firm, NFS, LLC
- Our clearing firm, NFS, LLC also has contingency plans in place in the event of a business disruption. If clients cannot reach anyone affiliated with TrustCore Investments Inc., regarding their accounts held at our clearing firm, they may contact NFS, LLC directly by calling 617-563-5977. Clients with internet access to these accounts and may access their accounts through this website link, <http://www.fiibg.com/my/access>

TrustCore Investments, Inc., a wholly owned subsidiary of TrustCore Financial Inc., is a member of the NASD. TrustCore Investments, Inc. will abide by industry and market instigated closings as directed by regulatory authorities.

Although we have taken significant steps to create and employ an effective business recovery plan, we cannot guarantee that all systems will always be available or recoverable after a disaster or significant business disruption. We believe planning for business interruptions is consistent with many of the best practices established within the industry.